ATTORNEY'S DOCKET NUMBER U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE 0788 PTO-1395 (Modified) | PDS 7-7508) PB60615USw TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CPR 1.5 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED NTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/14076 December 9, 2004 December 12, 2003 TITLE OF INVENTION TRICYCLE INDOLE HYDROXYETHYLAMINE DERIVATIVES AND THEIR USE IN THE TREATMENT OF ALZHEIMER'S DISEASE APPLICANTYS) FOR DO/EO/US Emmanuel Hubert DEMONT, Sally REDSHAW, David R. VESEY, Daryl Simon WALTER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. ۹. Š. This is a SECOND or SUBSEQUENT submission of litems concerning a submission under 25 U.S.C. 371. This is an express request to begin national exemination procedures (25 U.S.C. 371(8)). The submission must include items (5), (6), 3. (9) and (24) indicated below. 20 The US has been elected (Article 31). ټ. A copy of the international Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was flied in the United States Receiving Office (RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). а. 🖂 is attached hereto. \Box has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the international Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau), ð. have been communicated by the International Bureau. \square have not been made; however, the time limit for making such amendments has NOT expired. 88 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8 \approx S. An eath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the ennexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 33. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 33. An Information Disclosure Statement under 37 CFR 1,97 and 1,98, \$4. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. \mathbb{Q} 185. A SECOND or SUBSEQUENT preliminary amendment. \square 17. A substitute specification. \square 18 A power of attorney and/or change of address tetter. 79. A computer-residable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.921 - 1.925. \Box 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). \square 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. Express Mail Label No.

U.S. APPLICATION NO (4 knows, dee 37 CFR 1.8) PCT/EP2004/14976 PCT/EP2004/14976 PCT/EP2004/14976 PCT/EP2004/14976 PCT/EP2004/14976 PB69615Usw The following liters have been submitted: Copy of PCT Request Copy of PCT publication coverpage The following liters have been submitted: 24. 25 Basic national feat. \$300 25. 25 Examination feet (37 CFR 1.492(b)) 11 feat without opinion prepared by ISAUUS or the international preliminary examination report prepared by IPA/15 indicates all claims suisisty provisions of PCT Article 33(1)(4). 26. 26 Search feet (27 CFR 1.492(b)) 11 feat without opinion of the ISAUUS of the international preliminary examination report by IPA/15 indicates all claims suisisty provisions of PCT Article 33(1)(4). Search feet (27 CFR 1.4492(b)) 11 feat without opinion of the ISAUUS of the international preliminary examination report by IPA/15 indicates all claims suisisty provisions of PCT Article 33(1)(4). Search feet (27 CFR 1.4492(b)) 12 feat without opinion of the ISAUUS of the international supprised on the international supprised on the Sauch feet of ISAUUS of the International Search feet of PCT Article 33(1)(4)(4). Search feet (27 CFR 1.4492(b)) 13 feat (38 Search feet) prepared by an ISA other than the US and provided to the Sauch feet of ISAUUS of the ISAUUS of BC or computer programs 14 Additional feet for expenditional search feet of Isauur feet of Is	Crider the Paperwork Ri	edución Azi of 1996	, se person	s are required to respond to	U.S. P) a cos	Ap atent and Trade action of Informs	proved nark O ston un	PTO- for use through 3/31/2/ ffice; U.S. DEPARTME less 8 displays a valid (1390 (Pay. 07-2005) 107, OMB 6661-6621 NT OF COMMERCE OMS control number
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Under the Paperwark Resultion Act of 1995, no persons are required to respond to a collection of information unless it displays a velid OMB control number. a. (ii) A check in the amount of \$ to cover the above fees is enclosed. 07-1392 \$900.00 in the amount of \$ Please charge my Deposit Account No. to cover the above fees. A duplicate copy of this sheet is enclosed. 🎇 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 87-1392 . A duplicate copy of this sheet is enclosed. d. 🔲 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status. SEND ALL CORRESPONDENCE TO: Demous SIGNATURE Bonnie L. Deppenbrock CUSTOMER NO. 23347 NAME 28,289 REGISTRATION NUMBER